

EFW

PATENT APPLICATION

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (~~XXX~~) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or () being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 571 273-8300) (_ pages). This facsimile is being sent from (908) 429-3650.

Maria T. Sanchez
(Print Name)

Date: November 7, 2006

Maria T. Sanchez
(Signature)

Docket No. 2003JP322

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Yuji TASHIRO et al.

Art Unit: 2818

Serial No. 10/574,556, filed April 3, 2006

Examiner: To Be Assigned

For: **COMPOSITION FOR FORMING ETCHING STOPPER LAYER**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING
OR BEFORE MAILING OF THE FIRST OFFICE ACTION
(37 CFR 1.97 (b))**

November 7, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

28

Dear Sir:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Pursuant to the notice in the Official Gazette notice of 5 August 2003, 1276 O.G. 55, copies of US Patents and/or US Patent Publications are not being enclosed as the present application has a filing date after June 30, 2003.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

[] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____ filed on _____.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Table of Contents containing all the documents pertaining to the references mentioned herein.

Serial No.: 10/574,556
Filed: April 3, 2006

EP 0 055 800 A2
JP 6-151612 A
JP 6-97567 B2
JP 2000-349151 A

JP 2001-102359 A
JP 2002-293941 A
JP 2003-15295 A

English Language Abstract JP 6-151612 A
English Language Abstract of JP 2000-349151 A
English Language Abstract of JP 2001-102359 A
English Language Abstract of JP 2002-293941 A
English Language Abstract of JP 2003-15295 A
Machine Language English Translation from JPO of JP 6-151612 A
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Machine Language English Translation from JPO of JP 2001-102359 A
Machine Language English Translation from JPO of JP 2002-293941 A
Machine Language English Translation from JPO of JP 2003-15295 A

Legible copies of all items listed accompany this information statement except as noted herein.

Pursuant to the Official Gazette notice of 5 August 2003, 1276 O.G. 55, since this application entered the National Stage under 35 USC 371 after June 30, 2003, applicant is not enclosing copies of the above mentioned U.S. patents and/or U.S. patent publications.

[] Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

[**XX**] Submitted herewith is an English language abstract of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

English Language Abstract JP 6-151612 A
English Language Abstract of JP 2000-349151 A
English Language Abstract of JP 2001-102359 A
English Language Abstract of JP 2002-293941 A
English Language Abstract of JP 2003-15295 A
Machine Language English Translation from JPO of JP 6-151612 A
Machine Language English Translation from JPO of JP 6-97567 B2
Machine Language English Translation from JPO of JP 2000-349151 A

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Machine Language English Translation from JPO of JP 2001-102359 A

Machine Language English Translation from JPO of JP 2002-293941 A

Machine Language English Translation from JPO of JP 2003-15295 A

[XX] No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

[XX] The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

US 2004/0030083 A1 is equivalent to JP 2002-293941 A

US 5,141,817 A is equivalent to JP 6-97567 B2

US 6,921,621 B2 is equivalent to JP 2003-15295 A

EP 0 055 800 A2 is equivalent to JP 57-117531 A

Pursuant to the Official Gazette notice of 5 August 2003, 1276 O.G. 55, since this application entered the National Stage under 35 USC 371 after June 30, 2003, applicant is not enclosing copies of the above mentioned U.S. patents and/or U.S. patent publications.

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION
DISCLOSURE STATEMENT

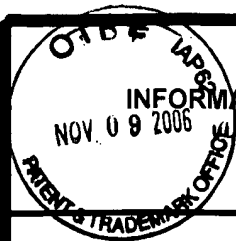
The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Attorney for Applicant(s)
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(Reg. No. 38, 504)
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Somerville, New Jersey 08876
Telephone: (908) 429-3536
Telefax: (908) 429-3650

Customer No. 26,289

**INFORMATION DISCLOSURE CITATION**

NOV 09 2006

(Use several sheets if necessary)

ATTY DOCKET NO.
2003JP322SERIAL NO.
10/574,556

Yuji TASHIRO et al.

FILING
April 3, 2006GROUP
2812**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,141,817 A	8/25/1992	Babich et al.			
	6,921,621 B2	7/26/2005	Nitta et al.			
	2004/0030083 A1	2/12/2004	Tashiro			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 055 800 A2	7/14/1982	EUROPE				
	JP 6-151612 A	5/31/1994	JAPAN			✓	
	JP 6-97567 B2	11/30/1994	JAPAN			✓	
	JP 2000-349151 A	12/15/2000	JAPAN			✓	
	JP 2001-102359 A	4/13/2001	JAPAN			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Language Abstract of JP 6-151612 A.
		English Language Abstract of JP 2000-349151 A.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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10/574,556**Yuji TASHIRO et al.**FILING
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2812**U.S. PATENT DOCUMENTS**

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							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			English Language Abstract of JP 2003-15295 A.

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2003JP322 IDS dated November 7, 2006
Table of Contents

EP 0 055 800 A2	European Patent Equivalent to JP 57-117531 A
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JP 2003-15295 A	Japanese Patent Equivalent to US 6,921,621 B2 English Language Abstract Machine Language English Translation from JPO

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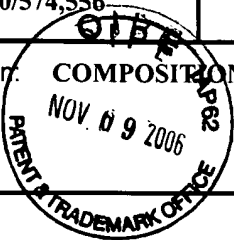
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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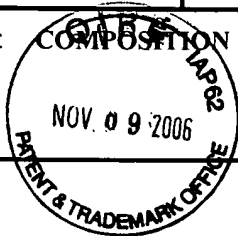
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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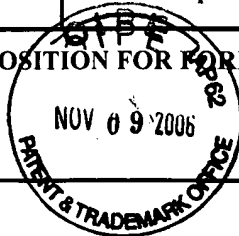
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER



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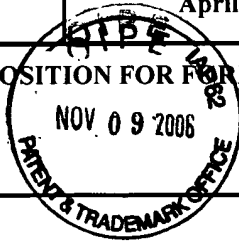
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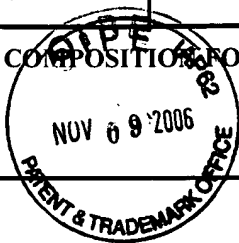
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER



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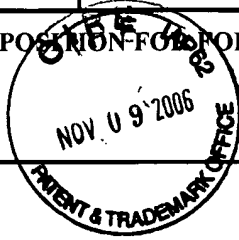
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER



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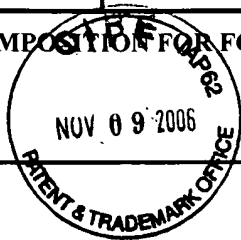
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER



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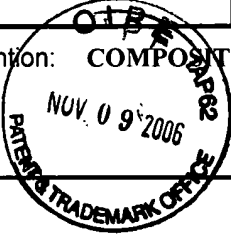
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER



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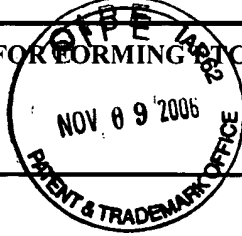
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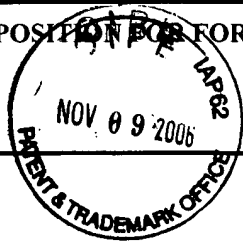
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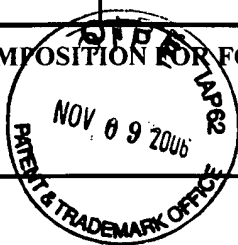
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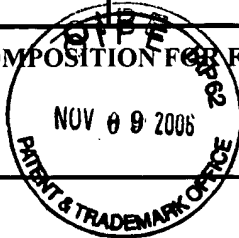
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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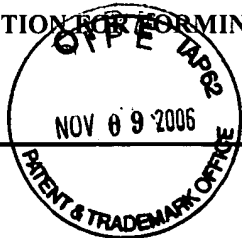
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Invention: COMPOSITION FOR FORMING ETCHING STOPPER LAYER

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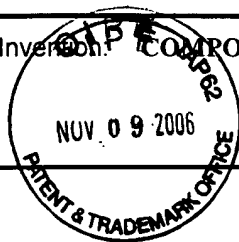
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